# New Candidate Form

**Candidate Information**

*Do not answer a question below to the extent your answer requires disclosure of any confidential information of another person or entity.*

## Date completed: 02/07/2024

1. Candidate’s full legal name (including names in another language):

Yanfei Cui (崔晏菲 in Chinese)

1. Have you ever been known by another name including a nickname? Yes ☐ No ☑

If yes, please list the other name(s):

1. Are you eligible to work in the country where you will be employed? Yes ☑ No ☐
2. Will you now or in the future require sponsorship for employment visa status? Yes ☐ No ☑
3. Are you actively interviewing? If yes, please describe where you are in the interview process with each firm:

I have stopped all interviews. However, I am currently interning at a Chinese hedge fund called Century Frontier, and the internship is expected to end in late February.

1. Have you ever interviewed at Point72 or any of its affiliates? Yes ☐ No ☑

If yes, when and with whom:

1. Have you ever worked for (whether as an employee, consultant, or in any other capacity) Point72 or any of its affiliates? Yes ☐ No ☑

If yes, when and with whom:

1. Is your resume accurate and inclusive of all your employment, consulting, or internship history?

Yes ☐ No ☑

If no, please list any changes and relevant explanations:

I need to add a new internship experience to my resume. The time is from October 2023 until now, the company name is Century Frontier, and the position is quantitative researcher. This is because I started interviewing with Point72 in September by submitting my resume. By early October, I had completed most of the interviews at Point72 and then entered a long waiting period. The internship at Century Frontier just started in October, so there was no time for me to update my resume with you guys in between. But it's okay, I can attach the latest version of my resume in the email.

New Candidate Form – Last updated September 24, 2019

## Is your resume accurate and inclusive of all colleges and universities that you have attended or from which you have earned credits or certifications?

Yes ☑ No ☐

If no, please list any changes and relevant explanations:

1. Has a previous employer ever terminated your employment (e.g. for performance reasons, misconduct, or as part of layoffs)?

Yes ☐ No ☑

If yes, please provide details for each instance:

1. Have you ever been subject to disciplinary action by an employer, regulatory agency, or self-regulatory organization?

Yes ☐ No ☑

If yes, please provide details:

1. Do you know any current or former employee or consultant of Point72 or any of its affiliates? Yes ☐ No ☑

If yes, who (full name and current employer) and in what capacity:

1. Do you have a close relationship with any person serving in a management or board position of a public company? Yes ☐ No ☑

If yes, please provide their full name, current employer and position, and your relationship to them:

1. Please list any Related Persons1 employed by or affiliated with the following:
2. Broker/dealer, futures broker or commodities broker;
3. A hedge fund other than Point72, private equity funds or other institutional money manager;
4. Any other investment firm; or
5. An officer or director of a Public Company.

Please provide their full name, current employer and position, and your relationship to them:

I have no related person to report.

1. Have you ever been subject to disciplinary action in an academic setting?

Yes ☐ No ☑

If yes, explain, including the disposition of any such action:

1. Have you ever been accused of any wrongdoing or breach of supervisory authority by a regulator?

Yes ☐ No ☑

If yes, explain:

1. Have you ever been fined or censured or have any of your former employers ever been fined or censured because of your actions?

Yes ☐ No ☑

If yes, explain:

1 Related Person is defined as a: parent; grandparent; father-in-law or mother-in-law; spouse; brother or sister; brother-in-law or sister-in-law; son-in-law or daughter-in-law; children; and any other person who supports you or whom you support, directly or indirectly, to a material extent (*i.e.,* providing 25% or more of the person’s annual income), including a roommate(s).

1. If, in your potential role with Point72, you intend to use any materials or other

intellectual property developed or owned (wholly or in part) by you or any other person or entity, please check here: ☐

## If you have checked the box above, please describe the materials that you would be using (e.g., models, software):

1. **Note:** By checking above, you represent that: 1) to the extent that such materials are owned by another person or entity, you have obtained explicit written consent from the third party which you will provide to Point72, or 2) to the extent that such materials are owned by you, your use of any such materials will not violate any intellectual property or confidentiality rights of any third party (including without limitation any of your former employers) or any duty owed by you to any third party (including without limitation any of your former employers), and you will provide Point72 written proof of your ownership rights.
2. If you cannot make the representation set forth in subsection (b), please provide an explanation:
3. Have you entered into an agreement with any former employer or other party that might, in any way, restrict your ability to work for Point72 for any period of time (e.g., a non- competition period, notice period, or garden leave period)? Yes ☐ No ☑

If yes, please explain:

If yes, what is the earliest date you can begin employment:

### Data Processing Statement

Point72 is committed to respecting your privacy. This Data Processing Statement (“**Statement**”) explains what personal data we will collect and how we will use it. Please read the information set out below before submitting your CV and/or application form.

Unless otherwise stated or the context otherwise requires, in this Statement, references to the “**Firm**”, “**we**”, “**us**” and “**our**” shall include Point72 Asset Management, L.P. and any Associated Firm. “Associated Firm” means Point72, L.P., Cubist Systematic Strategies, LLC, Canvas FRG, LLC, Cohen Private Ventures, LLC, Point72 Ventures, LLC, Point72 UK Limited, Point72 Europe (London) LLP, Point72 France SAS, Point72 Hong Kong Limited, Point72 Japan Limited, Point72 Singapore Pte. Ltd, Point72 Australia Pty Limited and any other partnership, limited partnership, limited liability partnership, proprietorship, company or other body corporate (wherever resident or under whatever jurisdiction the same is registered) in which the Firm and/or one or more of its members and/or another Associated Firm is or are partner or member or shareholder or proprietor and which is designated as an Associated Firm for the time being by the Firm.

### Data we may collect

We may collect and process the following personal data about you as part of the candidacy process, and if you ultimately join the Firm, as part of your employment:

* **Information that you provide to us**. We may process information that you give us by submitting any applications. This may include your full name, date of birth, gender, marital status, family information regarding children, national security number, bank details, ID card, passport copies, email address, postal address, phone telephone number, qualifications, education, employment history, other application details, your resume/CV, results of online tests, assessments and interview information.
* **Information we obtain from other sources**. This is likely to include information about you such as details of your suitability to work with us, your past performance at work, your character, verification of the information that you provide to us as part of your application, and if legally permitted, any history concerning criminal convictions, from third-party references that you provide to us, from your previous and/or current employers, opinions expressed by others about you and from third-party background checking services or a previous employer or other referee. It is also possible to receive information from web browsers and applications regarding certain of your online activities using cookies, and other similar tracking technologies.

We do not collect information about you except for as described above and we will only collect and use the information that the law allows us to collect and use.

### What we will do with your data

* Subject to applicable law your personal data may be stored and processed by us for the following purposes to consider your job application (including, in some cases, verifying your qualifications, education and references with those third parties you name); if your application is unsuccessful we will keep your information on record to notify you of relevant job vacancies with the Firm that you may be interested in, in the future;
* Where applicable and with your consent, to deliver advertisements or direct messages through third party services (including social media) targeted to reach potential candidates on such services who are identified in our internal data bases. This may be done by matching common factors between our data bases and the data bases of third party operators such as Facebook’s “Custom Audience” services which allows Point72 to provide such information on Facebook to potential candidates whose information and email addresses we possess when such individual expressed an interest in hearing from Point72;
* compliance with any legal or regulatory obligation to which the Firm is subject (including compliance with any request from regulatory authorities or other relevant public authorities); and
* the prevention and detection of crime or fraud.

We are entitled to use your personal data in these ways because:

* we need to in order to consider you for a position at the Firm;
* we have legal and regulatory obligations that we have to discharge; or
* it is necessary for our legitimate business interests such as ensuring that we have made appropriate checks on the qualifications, education and experience that applicants tell us they have.

In addition to the above, we reserve the right to collate, process, create derivative works and disseminate any statistics based on an aggregation of data held by us provided that any individual is not identified from the resulting analysis and the collation, processing and dissemination of such information is permitted by law.

In certain circumstances, not providing the requested data may affect our ability to meet the above purposes and may impact on our ability to process your application.

### What we will do with “sensitive personal data”

Certain forms of “sensitive personal data” are subject to specific protection or restriction by law in certain territories, including the EU. For these purposes, “sensitive personal data” is data relating to: racial or ethnic origin; criminal activity or proceedings in certain countries; political opinions; religious philosophical beliefs; trade union membership genetic data, biometric data, data concerning health or sex life or sexual orientation. We will only process your sensitive personal data if permitted by law and only if one of the following conditions is met: you have given explicit consent in writing to the processing of the data; the processing is necessary for carrying out obligations and specific rights of the Firm in the field of employment law, social security or social protection law (including obligations in relation to health and safety and disability discrimination, occupational health, the legality of personnel working in a particular jurisdiction, which will involve processing data in relation to nationality, work permits and visas, monitoring equality of treatment of staff, in connection with benefits including life assurance benefit, permanent health insurance, private medical insurance or pension, disciplinary action and vetting (where necessary)); the processing is necessary to protect your health or safety in an emergency (or that of another person) where you are physically or legally incapable of giving consent; the data in question has been made public by you; the processing is necessary for the purpose of, or in connection with, any actual or prospective legal proceedings, for the purpose of obtaining legal advice or otherwise for the purposes of establishing, exercising or defending legal rights subject to applicable local legislation or where courts are acting in their judicial capacity; necessary for reasons of substantial public interest on the basis of local law which is proportionate to the aim pursued and which contains appropriate safeguarding measures; the processing is necessary for preventative or occupational medicine; prevention or detection of crime or acts of dishonesty, malpractice or other improper conduct ;necessary for archiving purposes in the public interest or scientific and historical research purposes or statistical purposes or the processing is otherwise permitted by law. In each case we will meet any additional local legal requirements and enforce any applicable duties of confidentiality vigorously, for example in relation to access to health records.

### Disclosure of your information to third parties

We may share your personal data with third parties in the circumstances below:

* your personal data may be transferred to the Firm’s international offices (including the Firm entity with which any job you apply for is associated) and who may also use your personal data in connection with the purposes listed above; and
* we may also share your personal data with third parties outside of the Firm: 1) for the purposes of assessing your application and verifying the information you have provided; 2) to the extent required by law, for example if we are under a duty to disclose your personal data in order to comply with any legal obligation or to establish, exercise or defend our legal rights; and 3) to our service providers (for example, the providers of our electronic data storage services and applicant relationship management tool) for the purposes of providing services to us. These third parties will be subject to confidentiality requirements and they will only use your personal data as described in this Statement.

### International transfers of Personal Data

Please note that your personal data may be transferred to the Firm’s international offices and the various entities that make up the Firm’s international network and accessed by authorised Firm personnel outside Europe as well as within it, for the purposes identified above. Where we transfer your personal data outside Europe, it will be protected in a manner that is consistent with how your personal data will be protected by us in Europe. This can be done in a number of different ways, for instance:

* the country to which we send the data is approved by the European Commission;
* the recipient has signed a contract based on “model contractual clauses” approved by the European Commission, obliging them to protect your personal data; or
* where the recipient is located in the US, it is a certified member of the EU-US Privacy Shield scheme.

In other circumstances, the law may permit us to otherwise transfer your personal data outside Europe. In all cases, however, any transfer of your personal data will be compliant with data protection law.

You can obtain more details of the protection given to your personal data when it is transferred outside Europe (including a sample copy of the contract used with some recipients of your personal data) by contacting us in accordance with the “Contact us” section below.

### Retention of Personal Data

How long we hold your personal data for will vary. The retention period will be determined by various criteria including:

* the purpose for which we are using it – we will need to keep the data for as long as is necessary for that purpose; and
* legal obligations – laws or regulation may set a minimum period for which we have to keep your personal data.

### Your rights

You have a number of legal rights in relation to the personal data that we hold about you and you can exercise your rights by contacting through the details in the Contact Us section.

These rights include: the right to obtain information regarding the processing of your personal data and access to the Personal Data which we hold about you; the right to withdraw your consent to our processing of your personal data at any time. Please note, however, that we may still be entitled to process your personal data if we have another legitimate reason (other than consent) for doing so; in some circumstances, the right to receive some personal data in a structured, commonly used and machine-readable format and/or request that we transmit those data to a third party where this is technically feasible. Please note that this right only applies to personal data which you have provided to us; the right to request that we correct your personal data if it is inaccurate or incomplete; the right to request that we erase your personal data in certain circumstances. Please note that there may be circumstances where you ask us to erase your personal data but we are legally entitled to retain it; the right to request that we restrict our processing of your personal data in certain circumstances. Again, there may be circumstances where you ask us to restrict our processing of your personal data but we are legally entitled to refuse that request; and the right to lodge a complaint with the applicable data

protection regulator in the country where the relevant Firm entity is located if you think that any of your rights have been infringed by us. If you are not sure which part of the Firm is using your data or the relevant data protection regulator, you can ask us to clarify this using the contact details below.

You can exercise your rights by contacting us using the details set out in the “Contact us” section below.

### Contact Us

If you would like further information on the collection, use, disclosure, transfer or processing of your personal data or the exercise of any of the rights listed above, please email [DataPrivacy@Point72.com.](mailto:DataPrivacy@Point72.com)